



INTERNATIONAL
FOUNDATION FOR
SCIENCE

RESEARCH GRANT AGREEMENT NO. E/5666-1

Date: 2014-12-19

- (1) Grant: 10 815 USD
- (2) Chief Investigator: Dr Thi Minh Ngoc TA
- (3) Project: Preparation of novel preformed natural coating materiel from fish waste to produce pro-vitamin A encapsulates for uses in food and supplements.
- (4) Institution: Nha Trang University , Nha Trang City, Vietnam
- (5) Specifications for the Grant:

Equipment	6 293 USD
Expendable supplies	4 111 USD
Literature	411 USD
Travel	0 USD
Salaries	0 USD
Other costs	0 USD
Total	10 815 USD

With reference to the items number (1) to (5) above,

the **International Foundation for Science** ("the Foundation"), acting under its Statutes, and the **Chief Investigator** (2), having submitted to the Foundation an application registered as IFS Application No. AI3E/30332R, and the **Institution** (4), endorsing the Application and supporting the Chief Investigator,

agree to their joint interest in supporting the project (3).

For this purpose the Foundation will engage itself in the project for one research period commencing on the date specified below, in particular by a Grant (1) of USD 10 815 subject to the Specifications for the Grant (5) above and to the availability of funds and to the General Conditions otherwise stated on this page and overleaf.

For the Foundation: Niprisky Chogor Date: 2015-02-26

I accept the Grant and undertake to carry out the research project according to approved research plan and the Specifications for the Grant (5), to submit reports, and to abide by the Conditions expressed on this page and overleaf.

I plan to commence the project on the date: 15/03/2015

Chief Investigator (2): Ngoc Date: 29/01/2015

We undertake to facilitate the administration of the Grant (1) for uses solely within the Project.(3) and in accordance with the Conditions expressed on this page and overleaf.

Head of Institution (4): Trang Sy Trung Date: 02/02/2015

Printed Name and Position: Assoc. Prof. Trang Sy Trung, Rector of University

GENERAL CONDITIONS

1. Rights and Responsibilities of the Investigator

The Investigator agrees that the grant provided by the Foundation (IFS) under this Research Grant Agreement (RGA) shall be used for the project according to the itemized budget of this RGA. The research plan shall be as defined in the Application but following any amendments made by IFS. The budget and the research plan may only be changed upon receipt of the written consent of IFS.

If working with or producing genetically engineered organisms, dangerous products, or carrying out experiments that may raise ethical, environmental, animal welfare, or lab safety issues, the Investigator shall comply with national enacted or established safety regulations and procedures, and international standards.

The Investigator shall immediately sign the RGA and arrange for the signature of the Institution and return the RGA to IFS within a time period of 6 months. The Investigator shall claim the funds within 12 months from the date of issue of the RGA.

The Investigator shall immediately acknowledge receipt of funds transferred and also acknowledge receipt of equipment and/or supplies.

The Investigator shall send one copy of each publication related to the project to IFS and also keep IFS informed about any applications of the research results.

The Investigator shall at the end of the research period immediately submit to IFS a project report in English or in French. This report shall be accompanied by a statement of expenditures for funds transferred.

As the grant is approved on a personal basis, the Investigator shall inform IFS of any absence from the Institution for more than 3 months. The Investigator's undertaking under this agreement is not transferable to another person.

2. Rights and Responsibilities of the Foundation (IFS)

After the signing of the RGA by the Investigator, the Institution and IFS, and after IFS acceptance of the instructions proposed by the Investigator on the IFS form Request for Grant Administration, the funds will be placed at the Investigator's disposal.

The IFS has the right to withdraw the grant if the agreement is annulled or otherwise changed or if the Investigator and/or the Institution do not sign the RGA or do not claim the funds within the time limits specified in item 1 or if the Investigator is absent from his position for more than 12 months.

Failure by the Investigator to fulfil the obligations towards IFS as specified in item 1 gives IFS the right to disqualify the Investigator from renewal grants and from participation in IFS supporting activities. Should donor funds or any other funding not be made available to IFS as foreseen, IFS shall be entitled to reduce or entirely withdraw the grant before it has been disbursed to the Investigator. The Investigator shall, however, be entitled to claim refunding of any legally binding financial commitments made in good faith for the project on the basis of a signed RGA.

The Investigator has no right to enter in any legally binding financial commitments before the grant has been placed at his/her disposal.

3. Rights and Responsibilities of the Institution

The Institution shall provide all facilities necessary for the execution of the research project.

If the Investigator is working with or producing genetically engineered organisms, dangerous products, or carrying out experiments that may raise ethical, environmental, animal welfare, or lab safety issues, the Institution will ensure that national enacted or established safety regulations and procedures, and international standards are complied with.

The Institution shall immediately confirm receipt of transferred funds and undertake the administration of the grant in cooperation with the Investigator and as specified in this RGA. Financial documents pertaining to the administration of the grant, including invoices paid from the grant, shall be kept on file and made available to IFS upon request. All costs involved in the administration of the grant will be absorbed by the Institution.

Equipment and supplies received for the project shall immediately be made available to the Investigator and will remain the property of IFS during the research period. Equipment and unutilized supplies will become the property

of the Institution after the termination of IFS support to the Investigator according to the normal procedures.

If the Investigator moves to another institution while this agreement is in force, the Institution shall inform IFS. Unless any other agreement has been made with IFS, the equipment shall be transferred to the Investigator's new institution; and the unutilized funds shall be transferred back to IFS.

4. Research Period

The grant is given for one research period of not less than 12, but normally not more than, 36 months.

5. Research Results

The IFS does not claim any rights to any inventions, patents or discoveries resulting from the research project. The Investigator and the Institution may publish all results related to the research project.

The IFS expects an appropriate acknowledgement of its contribution in any publications resulting from the research project in the following form: This research was supported by the International Foundation for Science, Stockholm, Sweden, through a grant to ... (mention here the name of the Investigator).

For the purpose of informing about IFS, and how IFS works and what support it may render, IFS is entitled to use all material submitted by the Investigator and the Institution.

IFS shall not direct the Investigator with regard to his or her research methods and findings and shall not try to exert any undue influence on how the research results are used or published.

6. Liability for Death, Injury and Damage

IFS shall not be liable for the death or injury or damage to any person or property arising out of the conduct of the research project. The Investigator and the Institution hereby jointly and severally agree to indemnify the Foundation in respect to any claims relating to any damage arising from the implementation of the research project or the use of the research results.

7. Swedish Law to Govern

This agreement is made in three copies, one for the Foundation, one for the Chief Investigator, and one for the Institution, and whether signed in Sweden or in any other country it should be construed and enforced in accordance with the laws of Sweden.

8. Settling of Differences

Should any dispute or difference, which cannot be settled amicably, arise regarding the meaning and/or interpretation of the provisions of the Agreement or relating to the rights and obligations of any of the Parties, or their successors in title, such dispute or difference shall be submitted to and determined by arbitration in the following manner:

- The arbitration shall be held in accordance with the Rules for Expedited Arbitrations of the Arbitration Institute of the Stockholm Chamber of Commerce;
- There shall be only two parties to the dispute. The party requesting the arbitration shall state against which party the request is directed. The third party may state which party's position it supports and if it states that it does not take a position with regard to the dispute shall nonetheless be bound by the outcome of the arbitration;
- The decision of the arbitrator shall be final and binding on the Parties;
- The arbitration shall be held in Stockholm in the English language.

Any dispute of difference between the Investigator and the Institution which does not involve IFS shall be settled in the country where the Investigator is domiciled through local arbitration.

Each Party warrants that there exists no provision in law according to which the above arbitration clause would not be binding on such Party.